

1 **Perphenazine-Macrocycle Conjugates Rapidly Sequester the A β 42**
2 **Monomer and Prevent Formation of Toxic Oligomers and Amyloid**

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19 **Keywords**

20 amyloid, Alzheimer's disease, monomer sequestration, oligomers, inhibition, kinetic analysis

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22

23 **Abstract**

24 Alzheimer's disease is imposing a growing social and economic burden worldwide and effective
25 therapies are urgently required. One possible approach to modulation of the disease outcome is to use
26 small molecules to limit the conversion of monomeric amyloid (A β 42) to cytotoxic amyloid oligomers
27 and fibrils. We have synthesized modulators of amyloid assembly that are unlike others studied to date:
28 these compounds act primarily by sequestering the A β 42 monomer. We provide kinetic and NMR data
29 showing that these perphenazine conjugates divert the A β 42 monomer into amorphous aggregates that
30 are not cytotoxic. Rapid monomer sequestration by the compounds reduces fibril assembly, even in the
31 presence of pre-formed fibrillar seeds. The compounds are therefore also able to disrupt monomer-
32 dependent secondary nucleation, the autocatalytic process that generates the majority of toxic
33 oligomers. The inhibitors have a modular design that is easily varied, aiding future exploration and use
34 of these tools to probe the impact of distinct A β 42 species populated during amyloid assembly.

35

36 Introduction

37 Alzheimer's disease (AD) is the most common form of dementia and one of the most devastating
38 diseases of the current age. The limited functional improvement in patients treated with antibodies that
39 bind fibrillar forms of the amyloid- β peptide (A β) requires that the search for effective therapies continue.
40 Amyloid formation by A β is a complex, multiple-step process, initiated by primary nucleation from A β
41 monomers. This is followed by recruitment of additional monomers, leading to the formation of multiple
42 different soluble oligomers with a range of sizes and toxicities¹⁻³. Although the full sequence of critical
43 molecular events that lead to neuronal death is yet to be defined, this self-assembly of A β into soluble
44 oligomers has been shown to generate neurotoxic oligomeric species⁴⁻⁶. These oligomers impact
45 neuronal activity through effects on cell membranes, calcium homeostasis, mitochondrial remodelling
46 and glutamate reuptake, amongst other deleterious mechanisms⁷⁻¹¹. As fibrils form, they offer sites for
47 elongation at their ends, and for secondary nucleation on their lateral surfaces^{12, 13}, generating further
48 oligomeric species. Secondary nucleation is a significant source of cytotoxic oligomeric A β 42 species
49 and is the dominant nucleation process in cerebrospinal fluid and in the presence of phospholipid
50 membranes¹⁴.

51
52 Effective inhibition of A β -associated toxicity is likely to require a mechanism that is effective against all
53 steps of the pathway^{15, 16}. Several small molecules or peptides have been shown to suppress primary
54 nucleation or to accelerate fibril formation¹⁷⁻²⁰ and have shown promise in animal models, but are yet
55 to show efficacy in clinical trials²¹. Some small molecules increase the population of neurotoxic A β
56 oligomers²² and other proposed inhibitors are not effective in the presence of pre-formed fibrils^{2, 17}.
57 Molecular chaperone domains and certain antibody fragments are inhibitors of secondary nucleation²³.
58 ²⁴, as are some small molecules^{19, 25}. Progress towards the identification of effective modulators of the
59 A β assembly process has been slowed by the use of poorly characterised preparations of the peptide
60 and by testing against A β 40, the less amyloidogenic and less clinically relevant isoform, rather than
61 A β 42²⁶⁻²⁸.

62
63 We have shown previously that cyclam derivatives with triazole-linked pendant groups can adopt a
64 range of different, defined pendant geometries depending on the connectivity of the triazole linker and
65 the metal ion coordinated by the macrocycle^{9, 29, 30}. Combining these insights with the documented
66 capacity of polymeric perphenazine conjugates to modulate A β aggregation²⁸, we designed a series of
67 molecules in which cyclam is linked via click-derived triazoles to pendant groups that are known to
68 interact with A β 42.

69
70 Quantitative kinetic analyses demonstrate that this series of molecules effectively and rapidly
71 sequesters the monomeric form of A β 42. We show that this monomer sequestration activity inhibits
72 downstream events that are dependent on monomer concentration. This includes the formation of toxic
73 oligomers and amyloid fibril assembly; the latter is suppressed even in the presence of preformed fibril
74 seeds. These novel molecules redirect the A β 42 formation pathway towards the generation of
75 amorphous aggregates that are not toxic to differentiated, neuron-like SH-SY5Y cells. This study
76 provides the first demonstration of a reduction in neurotoxicity resulting from the designed diversion of
77 the A β 42 monomer into non-toxic assemblies.

78 Results and Discussion

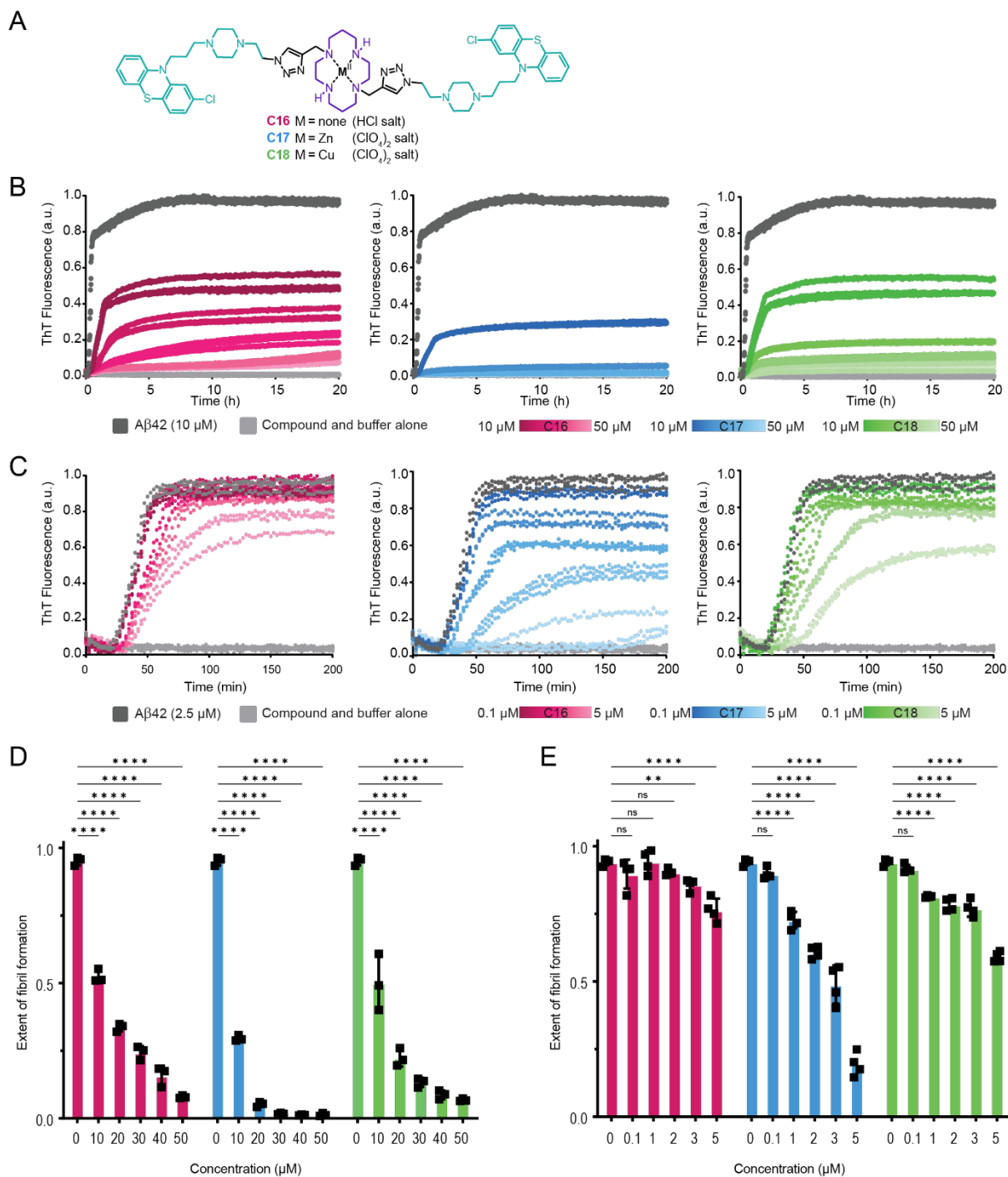
80 Perphenazine-cyclam conjugates inhibit A β 42 amyloid assembly

81 A series of cyclam conjugates was designed to enable a systematic investigation of the A β aggregation
82 and amyloid assembly pathways. Six parent cyclam derivatives were prepared: the mono-substituted
83 naphthyl (**C1**), dopamine (**C4**) and perphenazine (**C7**) analogues, and corresponding bis-substituted
84 compounds with naphthyl (**C10**), dopa (**C13**) and perphenazine (**C16**) pendants, along with their zinc(II)
85 and copper(II) complexes (mono-naphthyl **C2**, **C3**; mono-dopa **C5**, **C6**; mono-perphenazine **C8**, **C9**;
86 bis-naphthyl **C11**, **C12**; bis-dopa **C14**, **C15**; and bis-perphenazine **C17**, **C18**) (Fig. 1A and SI Fig. S1A).

87 Initial screening of these compounds showed the bis-perphenazine derivative (ligand **C16**) to have the
 88 most promising capacity to suppress amyloid formation by A β 42, the isoform of amyloid- β that is
 89 clinically associated with an increase in amyloid deposition³¹ (SI Fig. S1B).

90
 91 We prepared the bis-perphenazine ligand (**C16**) and its zinc (**C17**) and copper (**C18**) complexes
 92 (Methods, Scheme 1) and characterised the inhibitory activity of these compounds against highly
 93 purified, monomeric recombinant A β 42, prepared using the methods of Linse and colleagues^{32, 33}. A β 42
 94 prepared according to these protocols displays highly reproducible kinetics of amyloid assembly and
 95 the three cyclam conjugates **C16**, **C17** and **C18** were found to be potent inhibitors of A β 42 amyloid fibril
 96 formation, as monitored by ThT fluorescence (Fig. 1; SI Fig. S2–S4).

97



98
 99 **Fig. 1. Concentration-dependent inhibition of A β 42 fibril formation by macrocycle conjugates**
 100 **C16, C17 and C18.** (A) The compounds combine a cyclam macrocycle (purple) with pendant

101 perphenazine moieties (cyan), conjugated via a click-derived triazole (black), and deployed either as
102 the unmetallated HCl salt **C16**, the zinc (II) perchlorate complex **C17**, or the copper(II) perchlorate **C18**.
103 (B) 10 μ M A β 42 in 1% DMSO (dark grey) or in the presence of 10–50 μ M of **C16** (pink), **C17** (blue) and
104 **C18** (green). N = 3 (SI Fig. S2). (C) 2.5 μ M A β 42 in 1% DMSO (dark grey) or in the presence of 0.1–5
105 μ M of compounds. N = 4 (SI Fig. S3). Traces have been baseline-corrected for time zero and adjusted
106 to a scale where the maximum ThT intensity observed from the A β 42-only sample is 1. Extent of amyloid
107 fibril formation as a function of indicated conjugate concentration with (D) 10 μ M or (E) 2.5 μ M A β 42.
108 Symbols depict extent of fibril formation across 3 replicates for 10 μ M and 4 replicates for 2.5 μ M series,
109 error bars indicate mean \pm SD. Two-way ANOVA followed by a Tukey's multiple comparison test was
110 used to assess impact of three conjugates on fibril formation over two different ranges of [A β 42] and
111 [conjugate]. (**** p < 0.0001, *** p < 0.001, ** p < 0.01, ns = non-significant). Full statistical analysis
112 provided in SI Table S1–S4. Analysis and preparation of graph with GraphPad Prism.

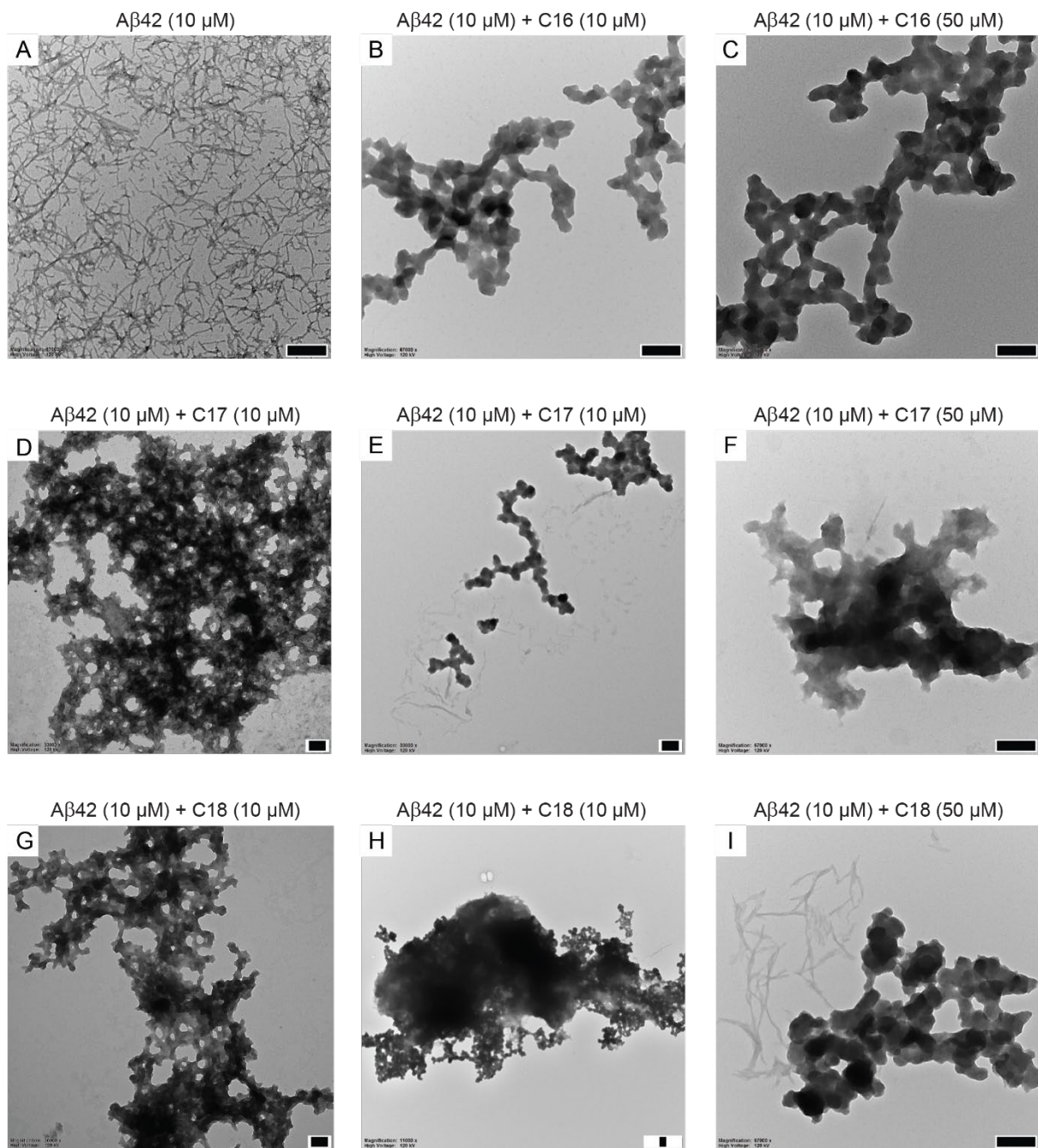
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114 The three cyclam conjugates demonstrated a concentration-dependent effect, and with 10 μ M A β 42
115 and a 1:5 molar ratio of A β 42:conjugate, amyloid assembly was almost completely suppressed, as
116 judged by the reduction in the level of Thioflavin T (ThT) fluorescence observed (Fig. 1B). Assays at
117 2.5 μ M A β 42, using a range of concentrations that allowed the plateau phase of amyloid assembly to
118 be reached, revealed that all three compounds also introduce an increase in the length of the lag phase
119 (Fig. 1C). Significant inhibition was observed in the presence of the zinc(II) complex **C17** and the
120 copper(II) complex **C18** at concentrations as low as 1 μ M with 2.5 μ M A β 42 but only above 3 μ M with
121 the uncomplexed ligand **C16** (Fig. 1D, E; Fig. S4 and full statistical analysis in SI Tables S1–S4). This
122 highlights the capacity of the central metal ion to influence the relative orientation of the two pendant
123 groups^{25, 29, 30} and the three-dimensional shape of the conjugate – and the resulting interaction with
124 A β 42. The exact nature of the inferred variation in shape between **C16**, **C17** and **C18** remains to be
125 elucidated.

126
127 Perphenazine or cyclam alone do not cause strong inhibition of A β 42 amyloid formation under these
128 conditions, highlighting the fact that it is not the perphenazine or cyclam moieties *per se* that are
129 responsible for the inhibitory activity (SI Fig. S1B, S5A, S5B). Consistent with the observation that
130 perphenazine alone has a small effect on final ThT intensity, A β 42 incubated in the presence of
131 perphenazine formed abundant short fibrils that were observed in dense bundles (SI Fig. S5B). The
132 observed inhibition of A β 42 amyloid formation is also not due to an effect of unchelated metal ions
133 directly on A β 42. Many studies have demonstrated that Zn(II) and Cu(II) cations can themselves inhibit
134 A β 42 amyloid formation^{34–36} and we observe this effect when these cations are added to the assembly
135 reactions, reflected by an increase in the lag phase or a decrease in the observed ThT intensity (SI Fig.
136 S6A, B). However, we observed that **C17** and **C18** remain effective inhibitors of A β amyloid formation
137 even in the presence of a large molar excess of the chelating agent EDTA that is sufficient to sequester
138 any free metal ions (SI Fig. S6C).

139 **The perphenazine conjugates divert A β 42 from amyloid assembly towards amorphous** 140 **aggregates**

141 A sensitive protein concentration assay confirmed that when the plateau of amyloid assembly was
142 reached, in samples containing A β 42 only or A β 42 plus a 5-fold molar excess of conjugate, little A β 42
143 remained in solution; instead, the A β 42 was in an insoluble form that was readily pelleted by
144 centrifugation (SI Fig. S7). Transmission electron microscopy was used to examine the morphology of
145 the A β 42 material present after incubation with these compounds. A shift from the characteristic fibrillar
146 morphology of A β 42 amyloid (Fig. 2A) to an amorphous form was observed when the peptide was
147 incubated with **C16**, **C17** or **C18** for 24 or 40 hours (Fig. 2B–I). Although a small amount of fibrillar
148 material was observed on some of the microscopy grids, most of the aggregated material was
149 amorphous. The amorphous aggregates had a beads-on-a-string appearance, with the “beads”
150 approximately 20 nm wide and the “strings” >1 μ m in length.

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Fig. 2. Conjugates sequester A β 42 into amorphous aggregates. Transmission electron microscopy images showing representative examples of (A) fibrils formed by 10 μ M A β 42 alone, or assemblies formed by 10 μ M A β 42 in the presence of (B) 10 μ M or (C) 50 μ M C16 after 40 h, (D) 10 μ M C17 after 24 h, (E) 10 μ M or (F) 50 μ M C17 after 40 h, (G) 10 μ M C18 after 24 h, (H) 10 μ M or (I) 50 μ M C18 after 40 h. Black scale bar represents 200 nm.

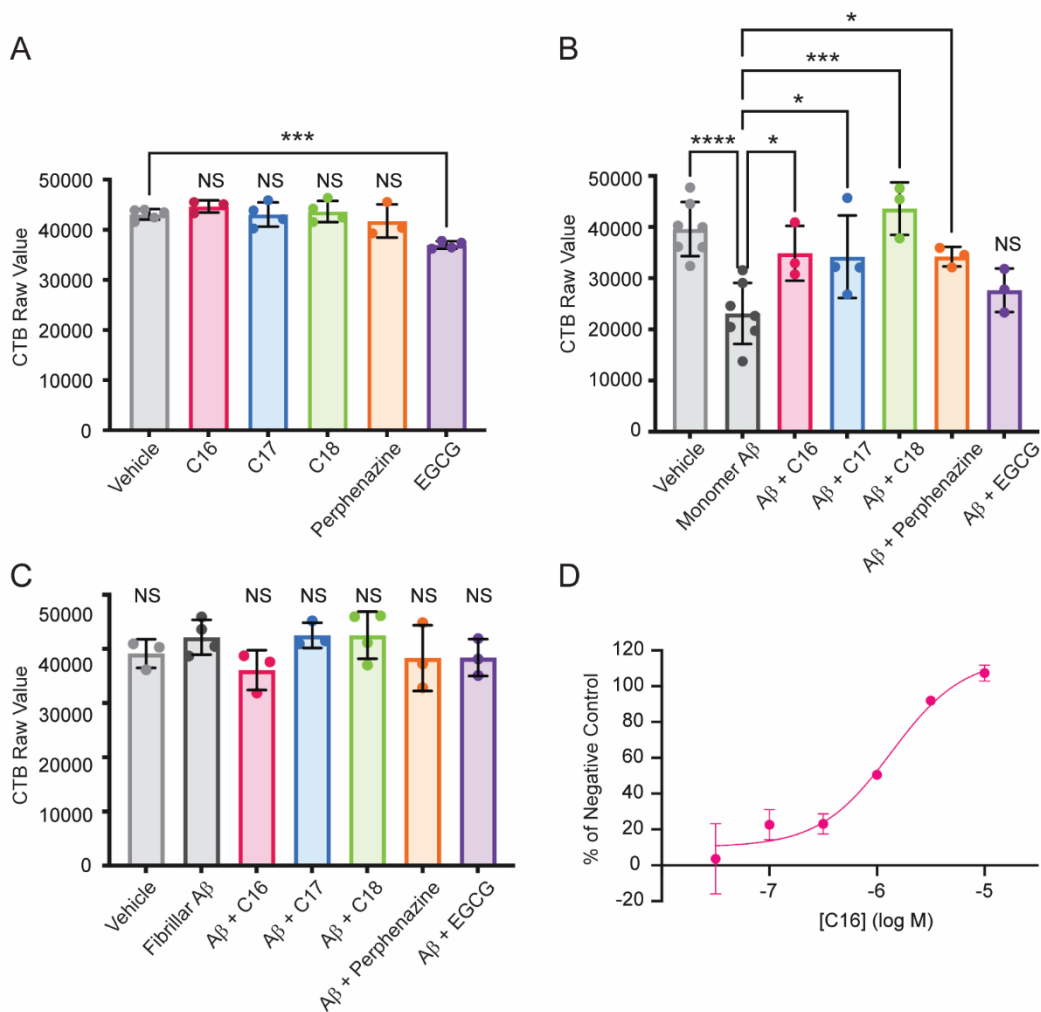
160 **The perphenazine conjugates reduce cytotoxicity associated with A β oligomers**

161 Many groups have demonstrated that soluble intermediate species formed by A β 42 *in vitro* are
162 neurotoxic^{1, 5, 37, 38}. Accordingly, the effect of administration of compounds **C16–C18** alone, or co-
163 administration with monomeric or fibrillar A β 42, was investigated using SH-SY5Y cells which had been
164 differentiated to an AD-appropriate phenotype by treatment with retinoic acid and brain-derived
165 neurotrophic factor³⁹. Monomeric A β 42 was prepared by dissolving in PBS, before being diluted in cell
166 media with or without conjugates and added immediately to cells. A β 42 fibrils were prepared by
167 incubating the monomeric A β 42 solution in cell media at 37 °C for 24 hours, a time point significantly
168 past the plateau level in unshaken ThT experiments using cell media (SI Fig. S7A) and then mixing with
169 DMSO or compounds **C16–C18** prepared in DMSO. Cells treated with monomeric A β 42 alone exhibited
170 a highly contrasted and rounded morphology compared to the normal flat morphology observed on
171 control DMSO-treated cells and cells treated with fibrillar A β 42 alone (SI Fig. S7B,C&D). After 24 hours,
172 the number of metabolically-active cells was measured using a CellTiter-Blue (CTB) fluorescence
173 assay. The CTB assay of cell viability was chosen, given published concerns regarding the use of MTT
174 with A β 42¹. These experiments were performed using multiple batches of A β 42 and consistent inter-
175 batch results were obtained.

176 By themselves, compounds **C16**, **C17** and **C18** displayed no significant toxicity towards differentiated
177 SH-SY5Y cells, relative to vehicle only (Fig. 3A, ANOVA $F_{(6, 23)} = 7.8$). Exposure of these cells to freshly
178 prepared monomeric A β 42 resulted in significant ($P < 0.001$) toxicity compared to vehicle, as expected
179 due to the generation of oligomeric species while co-administration with **C16**, **C17** or **C18** resulted in
180 significant protection against this cytotoxicity (Fig. 3B, ANOVA $F_{(6, 23)} = 7.6$). Perphenazine was not
181 cytotoxic by itself and imparted a similar level of protection as **C16**, **C17** and **C18**. The well-studied
182 catechin epigallocatechin gallate (EGCG) provided reduced protection against the cytotoxicity induced
183 by addition of monomeric A β 42 (Fig. 3A,B), consistent with the level of inhibition of A β 42 amyloid
184 formation observed in the presence of EGCG (SI Fig. S5C). When A β 42 was prepared in fibrillar form
185 and then added to cells, no cytotoxicity was observed with fibrils alone or in the presence of compounds
186 (Fig. 3C ANOVA $F_{(6, 23)} = 1.4$).

187 Following from these experiments, independent concentration response assays were performed with
188 **C16**. The IC₅₀ for **C16** was determined to be 2.3 μ M ($n = 3$; SD = 0.9, 95% CI 0.1-4.5) (Fig. 3D). These
189 results demonstrate that the reduction of A β 42 fibril formation and the diversion of A β 42 into amorphous
190 aggregates in the presence of **C16–C18** is associated with a decrease in the formation of species that
191 are toxic to differentiated SH-SY5Y cells.

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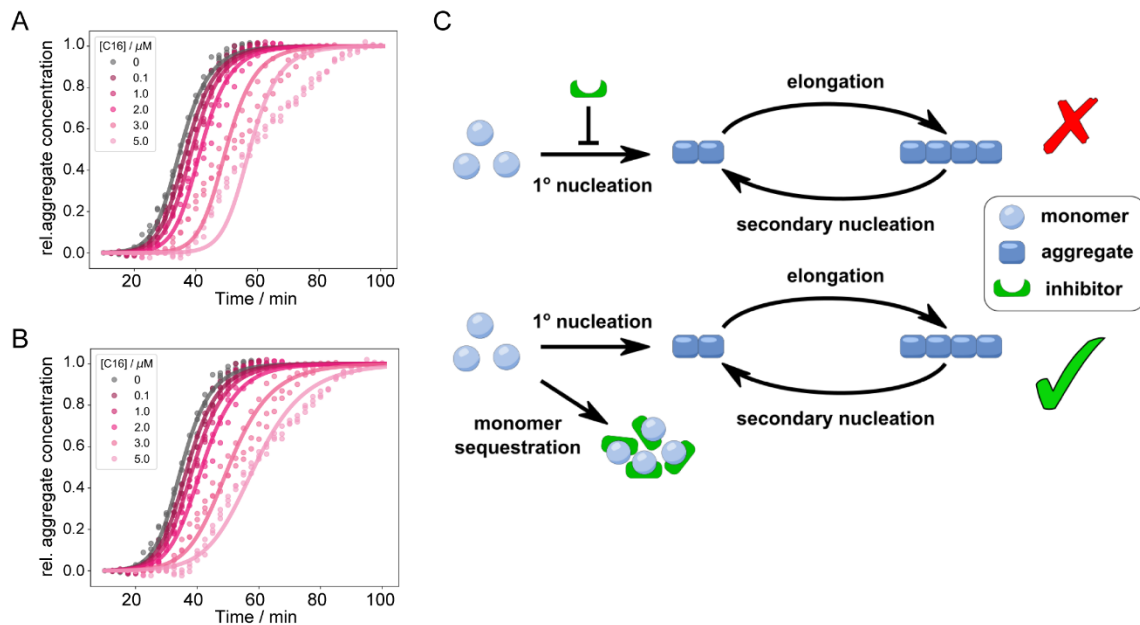
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Fig. 3. Decreased formation of cytotoxic species in the presence of perphenazine conjugates. Cell viability was measured using the CellTiter-Blue assay after 24 h incubation with vehicle or 10 μ M compound, with or without 20 μ M A β 42. (A) Administration of compounds alone. (B) Administration of monomeric A β 42 in the absence or presence of C16–C18, perphenazine and EGCG. (C) Administration of fibrillar A β 42 in the absence or presence of C16–C18, perphenazine and EGCG. The figure displays the mean \pm SD of N \geq 3 independent experiments. One-way ANOVA followed by a Bonferroni's multiple comparisons test at the 0.05 level was used to determine the difference between each condition and vehicle control for the compounds alone or monomeric/fibrillar A β 42 (**** p < 0.0001, *** p < 0.001, * p < 0.05, NS = non-significant). (D) Concentration-response curve for C16 incubated with A β 42 showing mean \pm SD. IC₅₀ = 2.3 μ M (SD = 0.9, 95% CI 0.1–4.5). N = 3. Details of statistical analysis in SI Tables S5–S7.

These compounds inhibit A β amyloid formation through sequestration of A β 42 monomers

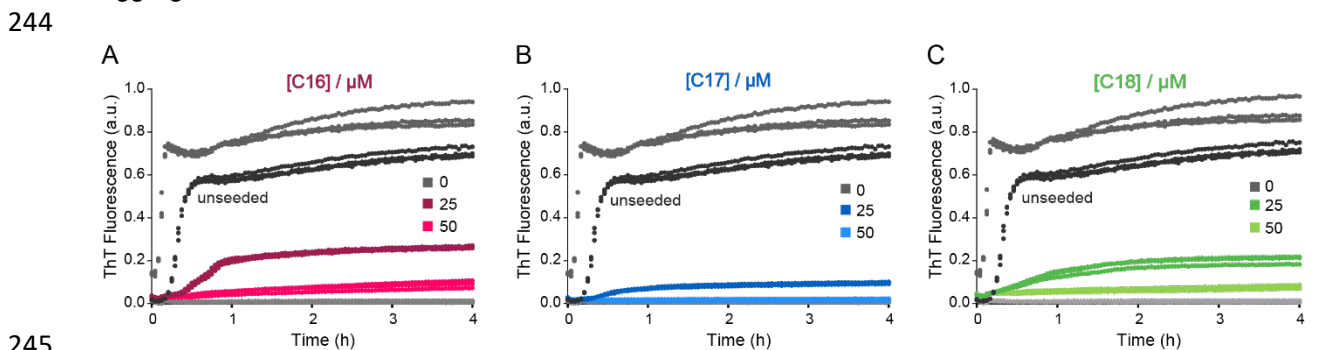
208 The microscopic processes underlying amyloid formation of A β 42 are primary nucleation, elongation
209 and secondary nucleation^{40, 41}. Their relative contribution to amyloid assembly can be quantified through
210 their rate constants, obtained by fitting integrated rate laws within the framework of chemical kinetics⁴².
211 ThT fluorescence data measured at 2.5 μ M and 10 μ M A β 42, in the presence of a range of cyclam
212 conjugate concentrations, were analysed using the online fitting software AmyloFit. The rate constants
213 of the unperturbed systems agreed well with similar experiments¹² (SI Table S12). To probe the
214 mechanism of action of inhibitory compounds C16, C17 and C18, we systematically varied either one
215 rate constant in the rate laws, or the level of available monomer⁴². By comparing how well these
216 modified rate laws describe the data, mechanistic insight can be gleaned. In this way, a mechanism
217 that primarily involves inhibition of primary nucleation could be ruled out for C16, C17 and C18 as the

218 origin of the changes in the kinetic behaviour in the presence of the inhibitor (Fig. 4A, C, and all
 219 conjugates in SI Fig. S9–13, SI Table S9). However, the fit to the kinetic profiles indicated that inhibition
 220 through monomer sequestration is a plausible mechanism (Fig. 4B and C, and SI Fig. S9–13, SI Table
 221 S9).



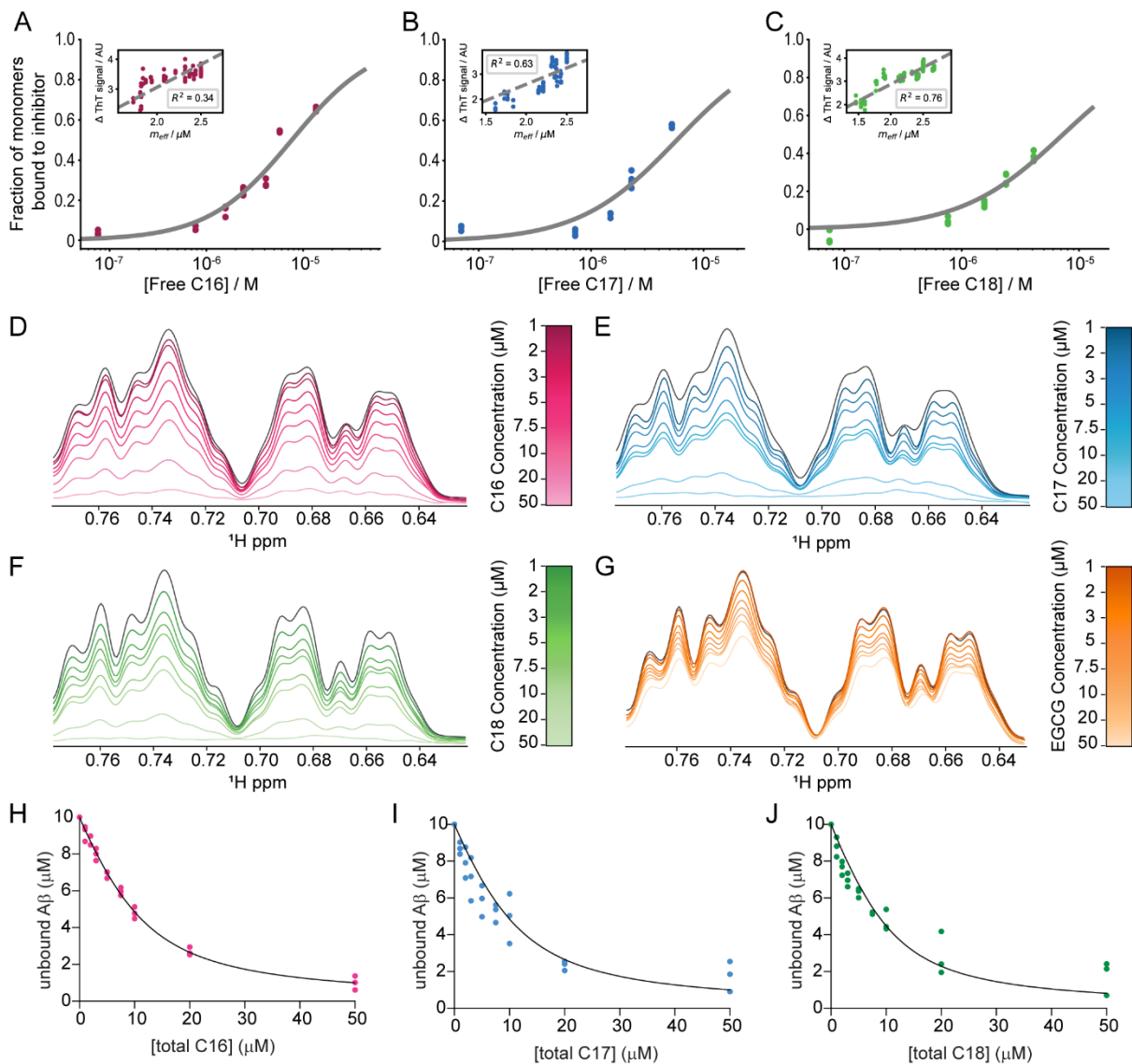
222
 223 **Fig. 4. Kinetic analysis reveals that Aβ42 monomer sequestration by C16–C18 effectively inhibits**
 224 **primary nucleation, secondary nucleation, and elongation.** To elucidate the major mechanism of
 225 inhibition, normalised aggregation curves measured in thioflavin T fluorescence assays were fitted
 226 under the constraint that deviation from the unperturbed system was allowed in only one microscopic
 227 step or the free monomer concentration, respectively. Representative curves for **C16** shown in A and
 228 B, all fits for all conjugates shown in the SI. (A) The observed inhibition cannot be described through
 229 inhibition of primary nucleation. (B) Inhibition through monomer sequestration is a plausible mechanism
 230 based on the kinetic profiles. (C) Microscopic steps underlying amyloid formation, with the suggested
 231 mode of inhibition through monomer sequestration.

232
 233 The mechanism of interaction with the conjugates was further probed by the addition of seeds to the
 234 assembly reactions. The introduction of small numbers of preformed fibril seeds at the beginning of the
 235 fibril assembly reaction can be used to bypass primary nucleation. Notably, the cyclam conjugates
 236 remain effective as inhibitors of Aβ42 amyloid fibril formation even when exogenous fibril seeds are
 237 present (Fig. 5A B, C). In the presence of 2% or 5% seeds, inclusion of **C16–C18** at 1:2.5 or 1:5
 238 Aβ42:compound molar ratio resulted in inhibition of Aβ42 assembly, with the level of inhibition similar
 239 to that observed in the absence of pre-formed seeds (Fig. 5 and SI Fig. S14). In addition to ruling out
 240 the blocking of primary nucleation as the source of the inhibitory effect, the results observed in the
 241 presence of seeds, when the aggregation reaction reaches completion much sooner, suggest that
 242 binding of the compounds to the monomeric form of Aβ42 occurs rapidly on the time scale of
 243 aggregation.



246 **Fig. 5. Cyclam conjugates effectively inhibit fibril formation in the presence of seeds.** 10 μM
 247 $\text{A}\beta_{42}$, in the absence of seeds (black) or presence of 5% seeds and 1% DMSO (grey), 25 or 50 μM (A)
 248 **C16**, (B) **C17**, and (C) **C18**. $N = 3$ (SI Fig. S14). All samples were measured in triplicate under quiescent
 249 conditions at 37 $^{\circ}\text{C}$. Traces have been corrected for time zero and data adjusted to a scale where the
 250 maximum ThT intensity observed in the absence of inhibitor is 1, using GraphPad Prism.

251
 252 Based on the fits to the normalised kinetic data alone, mechanisms based on inhibition of secondary
 253 nucleation, inhibition of elongation, and monomer sequestration, were observed to be similarly likely as
 254 the main modes of action of compounds **C16–C18** (SI Fig. S9–13, SI Table S9). However, we found a
 255 clear correlation between the ThT intensity at completion of the aggregation reaction, and the effective
 256 monomer concentration determined from the fits (Fig. 6A, B, C insets; SI Tables S10–S12). As the fitting
 257 was performed on normalised kinetic data, these two quantities constitute independent measures of the
 258 same physical property. This correlation between an equilibrium measure (the plateau level), and a
 259 parameter estimated on the basis of normalised kinetics (the effective monomer concentration),
 260 provides support for monomer sequestration by these compounds. In addition to accounting for the
 261 delay in aggregation and decrease in ThT signal, monomer sequestration by the cyclam conjugates
 262 also provides a mechanistic explanation for the appearance of amorphous material described above.
 263 These fits were made assuming that this monomer sequestration proceeds much faster than
 264 aggregation and can thus be modelled by a reduced effective monomer concentration.
 265



266

267 **Fig. 6 Effect of conjugates on A β 42 monomer in solution.** Monomer-inhibitor binding curves for (A)
268 **C16**, (B) **C17** and (C) **C18**, with correlation between the effective free monomer concentration,
269 determined by chemical kinetics, and the absolute increase in ThT signal shown as insets (SI Table
270 S10–S12). One-dimensional ^1H NMR spectroscopy was used to determine apparent K_D for compounds.
271 Unlabelled 10 μM A β 42 (dark grey) was incubated with 1–50 μM (D) **C16**, (E) **C17**, (F) **C18** and (G)
272 EGCG, and spectra recorded at 4 $^\circ\text{C}$. Methyl proton region is displayed, all regions analysed are shown
273 in SI Fig. 15. Increasing concentration of **C16–C18** resulted in a decay in protein signal intensity. Binding
274 curves for (H) **C16**, (I) **C17** and (J) **C18** constructed from signal decay curves and used to calculate the
275 apparent K_D .

276 Further support for monomer sequestration as the dominant mode of inhibition of A β 42 aggregation by
277 **C16**, **C17** and **C18** was gained by obtaining consistent values for the apparent dissociation constant of
278 monomer and inhibitor, K_D , by orthogonal methods. First, the effective monomer concentration obtained
279 through the chemical kinetics analysis at different monomer and inhibitor concentrations was used to
280 construct monomer-inhibitor binding curves (Fig. 6A, B, C). To fit these data, we assumed a simple one-
281 to-one binding, yielding K_D values of 7.6 μM (95% CI, 6.6–9.1) for **C16**, 5.7 μM (95% CI, 4.6–7.1) for
282 **C17** and 7.4 μM (95% CI, 6.2–9.1) for **C18**.

283
284 Secondly, we utilised one-dimensional ^1H NMR spectroscopy to determine an apparent binding
285 constant for the interaction between the conjugates and A β 42. For each of **C16**, **C17** and **C18**, the
286 compound was titrated into a solution containing 10 μM unlabelled A β 42, over the concentration range
287 of 1–50 μM . A decay profile in the protein signal intensity across the spectrum was observed with
288 increasing concentration of all three conjugates (Fig. 6 and SI Fig. S15). A 5:1 ratio of inhibitor:A β 42
289 resulted in a reduction of proton signals to baseline, consistent with the sequestration of A β 42 monomer
290 into very large and/or insoluble assemblies, the precipitation of material during the course of the NMR
291 titrations, and the amorphous aggregates observed by transmission electron microscopy (Fig. 3). The
292 signal decay curves were fitted to a quadratic equation for the calculation of the apparent K_D of each
293 compound, yielding 4.6 μM (95% CI, 3.1–6.5) for **C16**, 3.4 μM (95% CI, 2.2–4.9) for **C17** and 3.7 μM
294 (95% CI, 2.4–5.3) for **C18**. The observed effect of these perphenazine cyclam conjugates on A β 42
295 assembly is different to that of the polyphenol EGCG, where the presence of EGCG results in only a
296 small decrease in the intensity of the observed ^1H spectrum from monomeric A β 42, up to 31% signal
297 loss at a 5-fold excess of small molecule (Fig. 6G and SI Fig. S16). This is evidence that binding of
298 monomeric A β 42 to EGCG is weaker than peptide binding to the macrocycle conjugates.

299
300 A monomeric sample of recombinant ^{15}N -labelled A β 42 was prepared and analysed in the absence and
301 presence of **C16** to probe which regions of the polypeptide are involved in the interaction. The chemical
302 shifts in the ^{15}N HSQC spectrum collected in the absence of any added compound were superimposable
303 upon those reported by others previously for A β 42 (SI Fig. S16)⁴³. Addition of **C16** resulted in an
304 immediate reduction in signal intensity that was uniform across all peaks in the spectrum. Protein that
305 is not sequestered into an amorphous aggregate remains in solution at the 1:1 ratio of protein:inhibitor.
306 No peak-specific changes in signal intensity or chemical shift were detected that could be attributed to
307 binding of **C16** to a distinct region of monomeric A β 42. Instead, the loss of signal is consistent with the
308 binding of monomer to **C16** resulting in the generation of a conformation that is highly aggregation-
309 prone and rapidly forms large structures, undetectable by solution NMR.

310 We observed that the cyclam conjugates **C16–C18** remain effective inhibitors of A β 42 amyloid fibril
311 formation in the presence of pre-formed seeds, demonstrating that they are not acting on primary
312 nucleation. The fits to the normalised kinetic data cannot distinguish between mechanisms based on
313 inhibition of secondary nucleation, inhibition of elongation, or monomer sequestration. However, only
314 the last of these is consistent with *all* of the experimental data presented here: ThT fluorescence levels,
315 kinetics measurements, the appearance of amorphous aggregates when compounds bind to A β 42, and
316 loss of solution NMR signal upon addition of compounds to A β 42. It is not possible to measure the
317 concentration of the amorphous aggregates directly, hence we are unable to model the formation of
318 these complexes explicitly. We have assumed a 1:1 binding between compounds and A β 42, however
319 the rapid formation of large aggregates suggests that binding to the conjugates may induce a

320 conformation in A β 42 that recruits further molecules from solution. Our data are consistent with A β 42
321 binding to the inhibitor quickly but then dissociating slowly from the complex. Comparison of the results
322 presented here, with other studies that have investigated the effect of perphenazine alone on the
323 oligomerisation, fibrillisation and cytotoxicity associated with A β , indicate that changes in the pathway
324 of fibril assembly, or the type of oligomers formed by A β when it self-assembles, result in alterations in
325 observed cytotoxicity. Perphenazine diverts A β 42 to a different oligomeric form to that generated by
326 A β 42 alone, but fibrils are observed to form eventually. Necula and co-workers found that perphenazine
327 changed the size distribution of oligomers and the population detected by the A11 antibody but did not
328 inhibit A β 42 fibril formation and promoted the rate of formation of some oligomers and, ultimately
329 fibrils⁴⁴. Other studies with perphenazine and the less aggregation-prone A β 40 have demonstrated that
330 perphenazine slows the formation of nuclei but accelerates conversion of nuclei into fibrils²⁸. The
331 perphenazine moiety of the conjugates is likely to interact with A β 42 in a similar manner to perphenazine
332 only. However, the three-dimensional, multi-arm structure of the conjugates appears to trap the peptide
333 in a large amorphous aggregate and inhibit subsequent conversion of A β 42 into a fibrillar form. Since
334 toxicity is associated with oligomeric forms, both perphenazine and the conjugates reduce the cytotoxic
335 effect observed when monomeric A β 42 is applied to cells, however the final self-assembled form of
336 A β 42 is different.

337 The ability to interrogate a change in amyloid assembly kinetics and thereby identify the mechanism of
338 inhibition, offers new opportunities to understand the consequences of intervention at defined points
339 within the A β 42 assembly pathway. The kinetic theory of protein aggregation inhibition reveals that
340 sequestration of monomeric A β 42 will reduce the rates of primary nucleation, elongation and secondary
341 nucleation, and is therefore likely to have a large impact on amyloid assembly overall¹⁶. Many studies
342 of peptide-based inhibitors that incorporate “disruption elements” report success in preventing the
343 formation of the β -structure within amyloid fibrils and can influence elongation⁴⁵. Studies of the effect of
344 EGCG on A β 42 indicate that it converts the peptide to off-pathway oligomers and remodels pre-formed
345 fibrils, although these effects are not rapid^{26, 46, 47}. Inhibitors have also been reported that specifically
346 inhibit secondary nucleation¹⁹, but the impact of these interventions on residual A β monomer
347 concentration has not always been described. The intrinsically disordered and dynamic nature of
348 monomeric A β 42 has impeded the use of traditional drug discovery approaches that focus on identifying
349 chemical moieties that bind to defined sites on the target. A small molecule (10074-G5) that binds to
350 the monomeric form of A β 42 has been reported^{2, 3} and the binding of 10074-G5 to A β 42, with K_D of
351 $\sim 40\mu\text{M}$, maintains A β 42 in a soluble form and reduces its hydrophobicity⁴⁸. 10074-G5 reduces
352 functional deficits in a *C. elegans* model of A β 42-associated toxicity, however its impact on neuronal
353 toxicity is yet to be reported and it does not display a concentration-dependent inhibition of seeded
354 assembly *in vitro*.

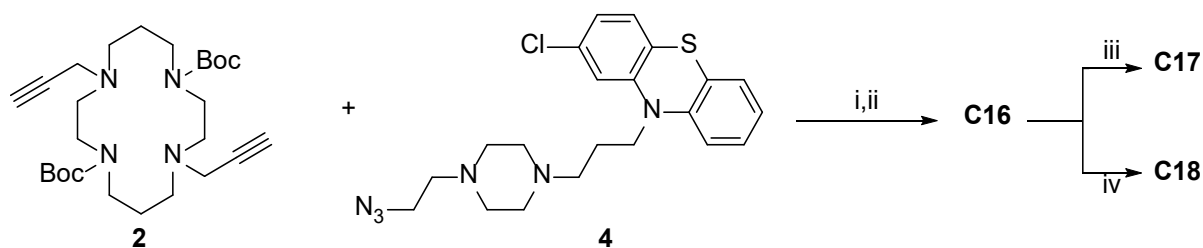
355
356 We have designed a class of compounds that predominantly sequester the monomeric form of A β 42
357 and direct its assembly into non-fibrillar forms, with associated reduction in the level of cytotoxic species
358 present. The inventive modular design of the compounds described here offers a toolkit for future
359 elucidation of the key moieties and properties that influence A β 42 monomer binding and subsequent
360 formation of amorphous aggregates. Compounds that sequester monomeric A β 42 will be needed at
361 relatively high concentrations, therefore further optimisation of affinity, stoichiometry and bioavailability
362 will be required to develop this into a viable therapeutic strategy. Many small molecules have been
363 described as modulators of A β 42 amyloid assembly and could be incorporated into the design with the
364 aim of increasing the affinity or specificity of the interaction with A β 42. The number of pendant groups
365 attached to this cyclam scaffold can be increased to four, potentially increasing the number of A β 42
366 peptides binding to each conjugate molecule. This scaffold provides a base for future rational design of
367 more potent compounds, with reduced lipophilicity and molecular weight, that act through the same
368 mechanism, yet which are accessible via a divergent synthetic strategy. This class of compounds can
369 provide wide mass-to-charge and hydrophobic-to-hydrophilic surface area ratios, while the attachment
370 of both rigid and flexible pendants provides a great diversity of possible interactions with client protein
371 targets. The switchable geometry allows maintenance of a constant periphery while varying the gross
372 molecular shape. Given that self-assembly and aggregation of proteins are three-dimensional

373 processes, this level of structural control has significant potential to contribute to effective inhibition. AD
374 is one of over 50 human disorders considered to be proteinopathies. The critical pathogenic species
375 associated with protein aggregation and amyloid formation in each of these disorders likely have unique
376 features. However, a similar dissection of assembly pathways with modular scaffolds decorated with
377 protein-specific binding moieties may reveal points for therapeutic intervention in other protein
378 aggregation-related diseases.

379 **Methods**

380 **Synthesis of lead compounds C16–C18.**

381 Full synthetic procedures and characterisation data are detailed in the Supporting Information.



382

383 **Reaction scheme 1:** Cyclam (1,4,8,11-tetraazacyclotetradecane) **1** was converted to the di-Boc-di-
 384 propargyl derivative **2** in 68% yield over four steps using previously reported methods^{9, 49}. Perphenazine
 385 **3** was converted to the azide **4** (79%) by activating its primary alcohol with diphenylphosphoryl azide
 386 (DPPA) and displacing with sodium azide in dimethylformamide (DMF). The azide **4** (2 equivalents) and
 387 bis-alkyne **2** were coupled using a copper-catalysed azide/alkyne cycloaddition reaction (CuAAC) to
 388 yield the protected ligand **5** (46%), before the Boc groups were removed using 4 M HCl in dioxane to
 389 afford **C16** (74%). The HCl salt **C16** was converted to its zinc(II) (**C17**) and copper(II) (**C18**) complexes
 390 by first treating with Ambersep 900 hydroxide form resin to generate the free amine, then stirring
 391 overnight at room temperature with either zinc(II) perchlorate hexahydrate (for **C17**, 63%) or copper(II)
 392 perchlorate hexahydrate (for **C18**, 64%). All compounds were dissolved in 100% DMSO and stored at
 393 4 °C until use. Reagents and conditions: i. CuSO₄·5H₂O (0.2 equiv.), sodium ascorbate (0.5 equiv.),
 394 THF/H₂O (2:3), rt, 16 h, 46%; ii. 4 M HCl in dioxane (excess), 0 °C, 1 h, 74%; iii. Ambersep 900
 395 hydroxide form resin, MeOH, 15 min, then Zn(ClO₄)₂, MeOH, reflux, 16 h, 63%; iv. Ambersep 900
 396 hydroxide form resin, MeOH, 15 min, then Cu(ClO₄)₂, MeOH, reflux, 16 h, 64%.

397 **Aβ42 Overexpression and purification.** Overexpression and purification of unlabelled Aβ42 was
 398 performed according to Walsh and colleagues³³. Briefly, large cultures of *Escherichia coli* BL21 Gold
 399 (DE3) were incubated at 37 °C with shaking, induced with 0.5 mM IPTG and harvested by centrifugation.
 400 Purification involved a series of sonication and centrifugation steps followed by resuspension of
 401 inclusion bodies in 8M urea. Anion exchange chromatography using diethylaminoethyl cellulose beads
 402 was performed and protein eluted with increasing concentrations of NaCl (up to 150 mM). Aβ42 elution
 403 was assessed by electrophoresis, using by Novex 10–20% Tricine SDS-PAGE gels. Fractions
 404 containing elevated levels of Aβ42 were combined and filtered through a 30 kDa molecular mass cut-
 405 off centrifugal filtration device (Amicon Ultra-15). The combined sample was concentrated using a 3 kDa
 406 molecular mass cut-off centrifugal filtration device (Amicon Ultra-15), snap frozen, lyophilised and
 407 stored at –20 °C. ¹⁵N Aβ42 expression was performed according to Habchi and colleagues¹⁹, with
 408 purification as above.

409 **Size exclusion chromatography.** An aliquot of lyophilised Aβ42 protein was solubilised in 6 M GuHCl
 410 until no large aggregates were visible. The sample was centrifuged at ~3300 x g for 3 min, bath
 411 sonicated for 5 min in ice-water and centrifuged again at 16000 x g for 10 min at 4 °C. 500 μL of sample
 412 was drawn up carefully with a syringe and loaded onto a Superdex 75 Increase 10/300 GL size
 413 exclusion column running on an ÄKTA FPLC system. Protein was eluted at 0.5 mL/min in 50 mM
 414 ammonium acetate pH 8.5. Fractions (0.5 mL) were collected in Protein LoBind Eppendorf tubes and
 415 immediately placed on ice. Fractions were analysed for Aβ42 content using SDS-PAGE. Concentration
 416 was measured via spectrophotometer (ε₂₇₅ = 1450). Fractions containing Aβ42 were combined and
 417 either used immediately or snap frozen and lyophilised.

418 **Thioflavin T assays.** Protein samples (Aβ42) were prepared in triplicate in non-binding 96-well half
 419 area plates (Corning 3881) in 25 mM or 50 mM NaH₂PO₄ pH 7.4, 40 μM ThT to a final volume of 80 μL.
 420 Plate preparation was performed at 4 °C to minimise aggregation. Samples were incubated at 37 °C in
 421 a POLARstar Omega microplate reader (BMG Labtech), with excitation at 440 nm and the fluorescence

422 emission recorded at 480 nm under quiescent conditions. EGCG and perphenazine were purchased
423 from Sigma and Combi-Blocks, respectively. Stock solutions prepared at 2.5 or 5 mM in water (EGCG)
424 and DMSO (perphenazine).

425 **Seeding.** Seeds were prepared by incubating 10 μ M A β 42 in 50 mM NaH₂PO₄, pH 7.4 at 37 °C for 5 h.
426 Protein solution was subjected to 6 x 10 s sonication with 20 s rest on ice between cycles. The seeds
427 were maintained on ice and used immediately.

428 **Enhanced BCA assay details.** Kinetic assays were run to completion as judged by the plateau in ThT
429 fluorescence (~5 h). Contents of wells were removed and centrifuged at 16000 x g for 10 min to pellet
430 fibrils and aggregates. Protein concentration in the remaining supernatant fraction was determined
431 using a Pierce Bicinchoninic Acid Protein Assay Kit according to manufacturer's enhanced protocol.
432 Solutions containing monomeric A β 42 and compounds alone were used as controls.

433 **TEM.** Samples were prepared on carbon-coated copper grids (200 mesh) with formvar support film
434 (ProSciTech Pty Ltd.) that had been exposed to UV light (230 nm) for 10 min before sample application
435 and stained with 2% aqueous uranyl acetate solution. Samples were examined with a FEI Tecnai T12
436 electron microscope operating at 120 kV and images captured with a Veleta CCD camera and
437 processed using RADIUS 2.0 imaging software (EMSIS GmbH).

438 **Preparation of A β 42 for cell assay and NMR.** Lyophilised, purified protein was resuspended in HFIP
439 at 1 mg/mL and incubated for 30 min at room temperature. The protein was snap frozen, lyophilised,
440 and stored at -80 °C until use.

441 **Cell Culture and Differentiation.** SH-SY5Y cells (a kind gift from Prof L. Ittner, Dementia Research
442 Centre, Macquarie University, Australia) were cultured in in Dulbecco's Modified Eagle Medium:
443 Nutrient Mixture F-12 (DMEM/F12; Invitrogen, Carlsbad, CA, USA) supplemented with 10% fetal bovine
444 serum (FBS; Gibco, Uxbridge, UK) at 37 °C and 5% CO₂. Cells were fed every 2-3 days and passaged
445 using Trypsin-EDTA (0.25%). Cells were detached using Trypsin-EDTA, centrifuged (5 min, 125 x g),
446 counted and then plated in a Cell-bind 96-well plate at a density of 0.5 x 10⁴ cells/well in 10 μ M all-trans
447 retinoic acid (RA; Sigma-Aldrich) in DMEM/F12 with 10% FBS. Media was replaced daily for 4 days
448 (RA is light sensitive, so all steps involving RA were completed in the dark). After a 4-day incubation
449 with RA, media was switched to DMEM/F12 (no serum) with 50 ng/mL BDNF (Sigma-Aldrich) for 3
450 days. After a total of 7 days of differentiation the cells were used for the experiments.

451 **A β 42 preparation, compound treatment and CellTiter-Blue viability assay.** 24 h prior to the cells
452 finishing differentiation, an aliquot of A β 42 was centrifuged at 16,000 x g for 10 min and dissolved in
453 PBS at 200 μ M, then diluted to 20 μ M in DMEM/F12 with 10% FBS. The solution was stored in the
454 incubator (37 °C, 5% CO₂) in a low-bind Eppendorf to promote A β 42 fibrillization. After cell
455 differentiation was complete, for the A β 42 monomeric preparation, a second aliquot of A β 42 was
456 centrifuged at 16,000 x g for 10 min, dissolved in PBS at 200 μ M and immediately diluted to a final
457 concentration of 20 μ M in DMEM/F12 (with 10% FBS). Experimental compounds (10 μ M, 0.1%) or
458 DMSO control were added to the fibrillar or monomeric A β 42. Differentiation media was aspirated,
459 100 μ L of each condition added to the cells and incubated for 24 h (37 °C, 5% CO₂). In the monomeric
460 preparation, the time between dissolving the A β 42 and applying onto the cells was minimised (less than
461 2 min total). After 24 h, CellTiter-Blue (CTB; Promega G8080) (20 μ L/well) was added and incubated
462 for 4 h. The fluorescent emission at 590 nm was measured using a POLARstar Omega microplate-
463 reader (BMG Labtech); viable cells metabolise this agent and reduce it to a fluorescent compound.
464 Each condition was plated in duplicate, and data represent the mean of N \geq 3 independent replicates.
465 Independent concentration response assays were performed with **C16** to determine the IC₅₀. The cells
466 were treated with A β 42 in the presence of C16 over the range of concentration 0.05–10 μ M. Cell viability
467 was measured as described above, N = 3. Multiple batches of recombinant A β 42 were used for these
468 experiments and gave rise to consistent inter-batch results.

469 **Statistical Analysis.** Ordinary one-way ANOVA followed by a Bonferroni's multiple comparisons test
470 with single pooled variance was used to determine the adjusted p-value between mean CTB values of
471 differentiated SH-SY5Y cells treated with A β 42 and/or test compounds relative to vehicle control. The
472 family-wise alpha threshold and confidence level was set at 0.05 (95% confidence interval). IC50
473 analysis was conducted using a 4-parameter sigmoidal inhibition dose-response fit in GraphPad Prism
474 9, with all curves showing a suitable goodness of fit ($R^2 > 0.9$).

475 **Kinetic analysis.** The kinetic data obtained in ThT assays were analysed using the online fitting
476 software AmyloFit, following the cited protocol⁴². The model 'secondary nucleation dominated,
477 unseeded' was used, with the reaction orders set to 2 as typical for A β 42 and under the constraint of
478 allowing deviations from the unperturbed kinetics in only one parameter at a time to investigate which
479 microscopic step or which species of the aggregation mixture was most likely affected by the inhibitors.
480 Repeats of the experiment were analysed separately and combined at the end to estimate uncertainty.

481 **K_D determination.** To determine the value of the dissociation constant, K_D , between free monomer and
482 inhibitor, a one-to-one binding was assumed and the free monomer concentration, m , expressed as a
483 function of the total inhibitor concentration, I_{tot} , the total monomer concentration, m_{tot} , and K_D ,

484
$$m = \frac{-I_{tot} + m_{tot} - K_D + \sqrt{(I_{tot} - m_{tot} + K_D)^2 + 4 K_D m_{tot}}}{2}$$

485 The free monomer concentration was estimated as the effective monomer concentration in the kinetic
486 assays or the relative one-dimensional ¹H NMR signal, respectively. Likelihoods were calculated using
487 grid approximations, under the assumption of Gaussian noise.

488 **NMR / K_D Analysis.** All NMR data were collected at 4 °C using unlabelled 10 μ M A β 42 or ¹⁵N-labelled
489 A β 42 in 20 mM NaH₂PO₄ pH 7.0, 10% D₂O, 1 mM DSS. Individual titrations with **C16**, **C17**, **C18** or
490 EGCG were carried out by incubating unlabelled 10 μ M A β 42 with increasing concentrations of the
491 compounds, ranging from 0 to 50 μ M. 9 titration points were recorded for each compound. The NMR
492 signals from one-dimensional ¹H spectra were used to monitor the binding. Each spectrum was
493 recorded using 512 scans. A ¹H-¹⁵N heteronuclear single quantum coherence (HSQC) spectrum was
494 also recorded for ¹⁵N-labelled A β 42 in the presence of 10 μ M **C16**. The HSQC spectrum was recorded
495 overnight, for 240 scans, with a spectral width of 25 ppm (¹⁵N offset at 117.5 ppm). All spectra were
496 obtained using a 18.8 T (800 MHz ¹H frequency) Avance III HD Bruker spectrometer equipped with a
497 cryoprobe.

498

499 **Supporting Information**

500 Supplementary methods detailing synthesis of perphenazine conjugates, characterisation by nuclear
501 magnetic resonance spectroscopy and screening using synthetic A β 42, supporting figures S1–S16,
502 statistical results for the one-way ANOVAs presented in Figure 3 (tables S5–S9), rate constants and
503 mean squared errors from presented fits of ThT fluorescence data and effective monomer
504 concentrations used for Figure 5 (tables S8–S12), SI references.

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517

518 **Author Contributions**

519 S.R.B., J.S.P.A., M.A.S., M.R.Z., V.L., M.S-H., F.J., A.H.K., T.P.J.K., G.M., E.L.W., M.H.T., P.J.R. and
520 M.S. conceived the study and developed the methodology. S.R.B., J.S.P.A., M.A.S., V.L., M.S-H.,
521 A.D.J.M. and F.J. generated the data. S.R.B., M.A.S., M.R.Z., M.S-H. and G.M. performed the data
522 analysis. A.H.K., T.P.J.K., E.L.W., M.K., M.H.T., P.J.R. and M.S. were involved in supervision. M.K.,
523 P.J.R. and M.S. provided resources. S.R.B., J.S.P.A., M.A.S., P.J.R. and M.S. wrote the first draft of
524 the manuscript. All authors reviewed the final manuscript and provided editorial advice.

525

526 **Additional Information**

527 **Conflicts of interest**

528 There are no conflicts of interest to declare.

529

530

531 **References**

532

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